

**IN THE  
UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**IN RE APPLICATION OF:** Swoboda et al.  
**CASE:** OST-061059  
**SERIAL NO.:** 10/574,042  
**FILED ON:** March 28, 2006  
**FOR:** SERIAL DATA BUS, MOTION  
SYSTEM AND METHOD FOR THE  
EVENT-DRIVEN TRANSMISSION  
OF MESSAGES

COMMUNICATION  
TRANSMITTING  
INFORMATION  
DISCLOSURE  
STATEMENT

Mail Stop: Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTENTION OF:** Not yet assigned

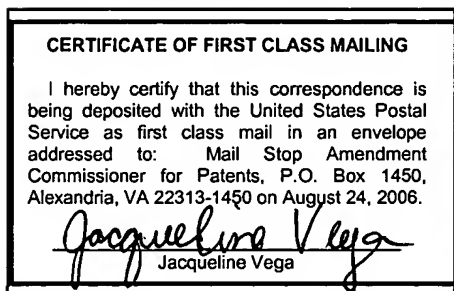
**EXAMINER:** Not yet assigned

Dear Examiner:

This Information Disclosure Statement ("IDS") is submitted pursuant to 37 CFR § 1.56. The filing of this "information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." See 37 CFR § 1.97(h).

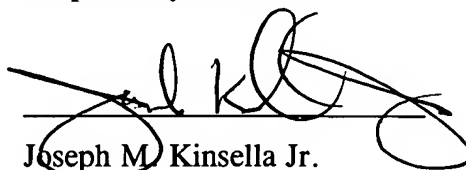
The applicant believes that no fees are required with this communication; however, if any additional fees are required, the Commissioner is authorized to pay such fees from Deposit Account No. 50-0545.

Dated: August 24, 2006



**FACTOR & LAKE, LTD.**  
1327 W. Washington Blvd  
Suite 5G/H  
Chicago, IL 60607  
(312) 226-1818 Telephone  
(312) 226-1919 Facsimile

Respectfully submitted,

  
**Joseph M. Kinsella Jr.**  
Reg. No. 45,743  
One of Attorneys for Applicant

Jody L. Factor	34157
Micheal D. Lake	33727
Edward L. Bishop	39110
Joseph M. Kinsella Jr.	45743
Nick S. Lee	54260

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/574,042
				Filing Date	March 28, 2006
				First Named Inventor	SWOBODA
				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	1	of	3	Attorney Docket Number	OST-061059

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,523,192 A	06-11-1985	Burton et al.	
		US-4,766,547 A	08-23-1988	Modery et al.	
		US-5,402,355 A	03-28-1985	Bahlmann et al.	
		US-5,927,657 A	07-27-1999	Takasan et al.	
		US-6,089,512 A	07-18-2000	Ansorge et al.	
		US-6,351,626 B1	02-26-2002	Lohr	
		US-6,499,701 B1	12-31-2002	Thornton et al.	
		US-6,577,230 B1	06-10-2003	Wendt et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 01/86899 A	11-15-2001	Schleifring & Appbau		
		WO 01/02211 A1	01-11-2001	Magnemotion Inc.		
		WO 98/57413 A	12-17-1998	Auckland Uniservice Ltd.		X
		DE 100 14 954 A1	10-04-2001	Industrietechnik GmbH		
		DE 101 47 859 A1	04-24-2003	LJU Industrietechnik GmbH		
		DE 32 45 579 A1	06-30-1983	International Computers Ltd.		
		DE 40 11 298 A1	10-11-1990	Rieter Ingolstadt Spinnereimaschinenbau		
		DE 195 12 523 A1	10-10-1996	Daimler-Benz Aktiengesell		
		DE 197 00 110 A	07-30-1998	Schleifring Und Apparatebau GmbH		
		DE 199 60 471 A	03-15-2001	Philips Corporate IP		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE                  STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/574,042
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				First Named Inventor	SWOBODA
				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	2	of	3	Attorney Docket Number	OST-061059

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		DE 196 49 682 C2	03-13-2003	Schleifring Und Apparatebau		X
		DE 34 34 268 A1	03-20-1986	Licentia Patent- Verwaltungs		X
		DE 197 40 761 A1	03-18-1999	Fredenhagen GmbH & Co. KG		X
		EP 0 242 177 A2	10-21-1987	Transfer Technologies Inc.		
		EP 0 814 994 B1	01-12-2000	Cegelec Aeg Anlagen Und		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/574,042
Filing Date	March 28, 2006
First Named Inventor	Swoboda
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	OST-061059

Sheet	3	of	3
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		US-2002/0057136 A1, filed 05-16-2002, Marketkar et al.	
		US-2003/0132812 A1, filed 07-17-2003, Lohr	
		US-2003/0146062 A1, filed 08-07-2003, Futschek	
		US-2005/0121557 A1, filed 06-09-2005, Futschek	
		Copy of related application German Office Action mailed June 18, 2004	
		Copy of PCT International Search Report for International Application No. PCT/EP2004/010555 (in German)	

Examiner Signature	Date Considered
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT OF  
BASIS FOR  
RELEVANCE OF  
FOREIGN LANGUAGE  
DOCUMENTS  
IDENTIFIED IN  
SUBMITTED  
PTO/SB/08A

Mail Stop Amendment  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION OF: Not yet assigned

EXAMINER: Not yet assigned

Dear Examiner:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 50-0545.

<b>PUBLICATION NO.</b>	<b>PUBLICATION DATE</b>	<b>BASIS FOR RELEVANCE</b>
DE 32 45 579 A1	06-11-1985	<b>Corresponds with US 4,523,192 A</b>
DE 199 60 471 A	03-15-2001	<b>Corresponds with US 6,557,230 B1</b>
DE 197 00 110 A	07-30-1988	<b>Corresponds with US 6,351,626 B1</b>
DE 195 12 523 A1	10-10-1996	<b>Corresponds with US 6,089,512 A</b>

**FACTOR & LAKE, LTD.**  
1327 W. Washington  
Suite 5G/H  
Chicago, IL 60607  
(312) 226-1818 Telephone  
(312) 226-1919 Facsimile

Jody L. Factor  
Micheal D. Lake  
Edward L. Bishop  
Joseph. M. Kinsella Jr.  
Nick S. Lee

34157  
33727  
39110  
45743  
54260

DE 196 49 682 C2      03-13-2003

The device has at least one signals source and/or sink of signals or power. A cable with non-reflecting terminations is laid along the trajectory of motion and the mobile units have an inductive coupling device (12, 22, 32) for coupling to the cable. The inductive coupling device is arranged wrt. the cable so that the currents induced or flowing in it can be tapped. A source and/or sink (11, 21, 31) for signals and/or power is arranged at one or both ends of the reflection-free terminated line.

DE 34 34 268 A1      03-20-1986

In a method for decentralized control of vehicles and functions which can be carried out in them, the object is to permit, without an additional superordinate central control system, fully automatic operation in the entire track area. This is achieved in that the vehicles (F) are provided with data in relation to destination and freight, then started, stopped at a destination station (ZSTG), commands of stored command sequences are successively transmitted to the vehicle as a function of the freight, executed in the said vehicle and acknowledged and re-started after a new destination address is set. The fields of application are preferably suspended monorails and driverless transport systems.

DE 100 14 954 A1      10-04-2001

**Corresponds with US 2003/0146062 A1**

DE 101 47 859 A1      04-24-2003

**Corresponds with US 2005/0121557 A1**

DE 40 11 298 A1      10-11-1990

**Corresponds with US 5,402,355 A**

DE 197 40 761 A1      03-18-1999

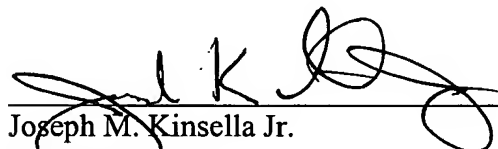
An automatic transport system, e.g. an overhead rail system or a floor guided system, has a CPU (52) connected to one track and with the separate transport units (12, 14) each moving independently and fitted with positions sensors and microprocessors. Each unit exchanges data with the CPU, and with other units, via a bus connection through the track. Contact with the track is by sliding contacts. The track has a magnetic strip with position markings read by the position sensors.

EP 0 814 994 B1	01-12-2000	Corresponds with US 6,089,512 A
EP 0 242 177 A2	10-21-1987	Corresponds with US 4,766,547 A
WO 01/86899A	11-15-2001	Corresponds with US 2003/0132812 A
WO 01/02211 A1	01-11-2001	Corresponds with US 6,499,701 B1

Should anything further be required, a telephone call to the undersigned, at (312) 226-1818, is respectfully invited.

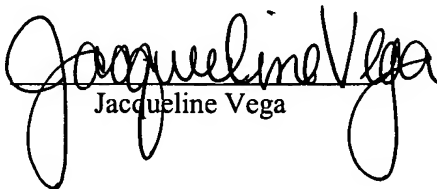
Respectfully submitted,

Dated: August 24, 2006

  
Joseph M. Kinsella Jr.  
Reg. No. 45,743  
One of Attorneys for Applicant

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 24, 2006.

  
Jacqueline Vega